Circular No. 4/2001
Approval-in-Principle
for New Models of Lifts/Escalators and Safety Equipment

Please be reminded that you have to seek approval-in-principle from the Director of Electrical and Mechanical Services before installation of any new models of lifts/escalators and safety equipment such as safety gears, overspeed governors, buffers, door locking devices, ascending car overspeed protection means and steps or pallets. The information to be submitted for the aforesaid application is listed in the Code of Practice on the Examination, Testing and Maintenance of Lifts and Escalators, Section C: Clause 1, Preliminary Authorization.

To facilitate the process of assessing each application, please note the following:

a. Ensure that the submitted type test certificates and test reports are issued by accredited certification organizations recognized by accreditation bodies with which The Hong Kong Laboratory Accreditation Scheme (HOKLAS) has signed the mutual recognition agreements/arrangements. The information concerning accreditation bodies and the accredited certification organizations is available in the following web site. http://www.info.gov.hk/itc/eng/quality/hkas/hoklas/agreement/agreement.shtml

b. The subject areas of accreditation of the accredited certification organization shall include lifts/escalators and equipment of lifts/escalators.

c. Only original or certified true copies of the certificates and the test...
reports will be accepted.

In case it is identified that any lift/escalator or safety equipment is installed without approval-in-principle granted by the Director, the lift/escalator concerned will not be permitted for use or operation. Contravention of this requirement is also an offence liable on conviction to a fine of HK$5,000 and to imprisonment for 6 months.

Yours faithfully,

(G.M.W. CHUI)
for Director of Electrical & Mechanical Services

WHO/GMWC